

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shuji HARASHIMA, et al.

SERIAL NO: 09/873,251

GAU: 2171

FILED: June 5, 2001

EXAMINER:

FOR: A PROGRAM EXECUTING MANAGEMENT SYSTEM, A COMPUTER PROGRAM PRODUCT AND A PROCESS EXECUTING MANAGEMENT METHOD



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

RECEIVED

MAR 25 2004

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2100

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

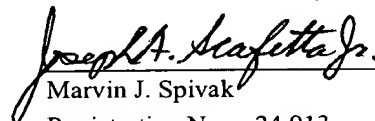
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Joseph A. Scafetta, Jr.  
Registration No. 26, 803

(Translation)

Mailed: February 24, 2004

**NOTIFICATION OF REASONS FOR REJECTION**

Patent Application No.: 2000-168993

Examiner's Notice Date: February 20, 2004

Examiner: H. Aisaki

This application is rejected on the grounds stated below. Any opinion about the rejection must be filed within 60 DAYS of the mailing date hereof.

**REASON**

The invention is unpatentable under Section 29 (2) of the Patent Law, as being such that the invention could easily have been made by a person with ordinary skill in the art to which the invention pertains, on the basis of the invention described in the following publication distributed in Japan or a foreign country prior to this application.

**REMARKS**

Re: Claims 1-7

Reference 1 is applicable.

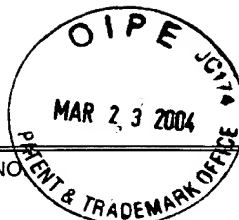
The compensating transaction described in reference 1 (refer particular to the detailed description on pages 7-20), which makes up a saga chain 310 and is mapped to a subtransaction one-to-one (refer to page 10, line 20, and the following lines), corresponds to the "recovery processing element to be executed when an abnormality occurs (stored for each identification information of a plurality of processing elements (subtransactions))" of the present invention.

The rest is a matter of design.

If a new reason for rejection is noticed, a further Official Action will be issued.

Reference Cited:

1. PCT International Publication No. WO 99/46674

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
209467US2SSERIAL NO.  
09/873,251

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shuji HARASHIMA, et al.

FILING DATE  
June 5, 2001GROUP  
2171

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	WO 99/46674	09/16/1999	WIPO		
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.